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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/955,259

09/12/2001

Nestor Annibali

52071.4

Squire, Sanders & Dempscy L.L.P. Suite 300 One Maritime Plaza San Francisco, CA 94111



CONFIRMATION NO. 1187
FORMALITIES LETTER

Date Mailed: 07/24/2002

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as
indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
of the sequence listing information recorded in computer readable form is identical to the written (on paper
or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Nestor ANNIBALI

Serial No.: 09/955,259

Filed: September 12, 2001

For: EXPRESSION OF A HUMAN

INSULIN PRECURSOR IN P. PASTORIS

Group Art Unit: Not Yet Determined

Examiner: Not Yet Determined

#### TRANSMITTAL LETTER

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327 Arlington, VA 22202

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated July 24, 2002, enclosed herewith for filing are the following documents:

- Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
- 2. Submission of Substitute Sequence Listing;
- 3. Sequence Listing;
- 4. 3 ½ Disk; and

## CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202

Vivian M. Gutierrez

Name of Person Mailing Paper

Signature of Person Mailing Paner

August 26, 2002

Date of Deposit LosAngeles/90293.1 52071.00004

PATENT 52071.00004

- 5. Change of Correspondence Address; and
- 6. Postcard.

The Commissioner is authorized to charge Squire, Sanders & Dempsey's Deposit Account No. **07-1853** for any fees required under 37 CFR §§ 1.16 and 1.17 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayment to said Deposit Account No. **07-1853**.

Respectfully submitted,

SQUIRE, SANDERS & DEMPSEY L.L.P.

Dated: August 26, 2002

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